

## QCD and Jets at the LHC V01 – QCD: From quarks & gluons to jets



### Herbstschule Maria Laach

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## Outline



- Historic recap
- Quarks and gluons
- Event shapes
- Jets



### The subnuclear zoo 1957



#### First of the PDG Reviews 1957

Authors: M. Gell-Mann A. Rosenfeld

	Parti- cle	Spin	Mass (Errors represent standard deviation) (Mev)	Mass difference (Mev)	Mean life (sec)	Decay rate (number per second)
tons	γ	1	0		stable	0.0
tons and itileptons	ν, ν e <sup>-</sup> , e <sup>+</sup> μ <sup>-</sup> , μ <sup>+</sup>		0 0.510976* 105.70 ±0.06*		stable stable (2.22±0.02)×10-6*	0.0 0.0 0.45×10 <sup>6</sup>
ons	π <sup>±</sup> π° K <sup>±</sup> K°	0 0 0 0	$ \begin{array}{c} 139.63 \pm 0.06^{*} \\ 135.04 \pm 0.16^{*} \\ 494.0 \pm 0.20 \text{ (a)} \\ 493 \pm 5 \text{ (Th)} \end{array} $	4.6*	$(2.56 \pm 0.05) \times 10^{-8*}$ (0.0 < $\tau$ < 0.4) × 10 <sup>-15</sup> (O) (1.224 ± .013) × 10 <sup>-8</sup> (b) K <sub>1</sub> : (0.95 ± .08) × 10 <sup>-10</sup> (P) K <sub>2</sub> : (3 < $\tau$ < 100) × 10 <sup>-8</sup> (L)(P)	$\begin{array}{c} 0.39 \times 10^8 \\ > 2.5 \times 10^{15} \\ 0.815 \times 10^8 \\ 1.05 \times 10^{10} \\ (>0.01 < 0.3) \times 10^8 \end{array}$
'ons†	<b>ク</b> ガ 入 ン <sup>+</sup> ン <sup>0</sup> 三 <sup>-</sup> 三 <sup>0</sup>	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	938.213 $\pm$ 0.01* 939.506 $\pm$ 0.01* 1115.2 $\pm$ 0.13 (B) 1189.3 $\pm$ 0.35 (B) 1196.4 $\pm$ 0.5 (B) 1188.8_1+2 (g) 1321 $\pm$ 3.5* ?	7.1±0.4 7.6_2 <sup>+3</sup>	stable $(1.04\pm0.13)\times10^{+3}$ * $(2.77\pm0.15)\times10^{-10}$ (d) $(0.78\pm0.074)\times10^{-10}$ (e) $(1.58\pm0.17)\times10^{-10}$ (f) $(<0.1)\times10^{-10}$ (A) theoretically~10^{-19} $(4.6<\tau<200)\times10^{-10}$ (Tr) ?	0.0 0.96×10 <sup>-8</sup> 0.36×10 <sup>10</sup> 1.28×10 <sup>10</sup> 0.64×10 <sup>10</sup> >10×10 <sup>10</sup> theoretically~10 <sup>19</sup> (>0.005, <0.2)×10 <sup>11</sup>

MASSES AND LIFETIMES OF ELEMENTARY PARTICLES

From compilations by Cohen, Crowe, and DuMond, Nuovo cimento, 5, 541 (1957), and "Fundamental Constants of Physto be published by Interscience, New York, 1957. They include all data available before January 1, 1957.

M.Gell-Mann, A. Rosenfeld, Ann.Rev.Nucl.Sc. 7 (1957) 407-478.

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## New hadrons just at LHC







## **Order to chaos**



- **Cosmic ray & accelerator experiments 1947 1970** 
  - many new "elementary" particles?! And some with "flavor"
  - M. Gell-Mann, 1964: Eightfold Way
    - order known particles of equal spin into multiplets of charge q and "strangeness" s



#### **Baryons spin 1/2**



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#### Nobel prize 1969



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q = 1

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strangeness s







- M. Gell-Mann: Mesons: quark-antiquark pairs Baryons: three "quarks" (J. Joyce "Finnegan's Wake": "Three quarks for Muster Mark.")
- G. Zweig: Analogous idea, his name "aces" did not stick.
  - Quarks/Aces seen as hypothetical mathematical constructs; charges coming in thirds were never observed
- R. Feynman: Measurements of deep-inelastic electron-proton scattering at the SLAC-MIT experiment explained: Point-like scattering centres inside the protons: "partons"
  - Later: Identification of the partons with (anti-)quarks and gluons



Sakurai prize 2015



Nobel prize 1965 for QED with J. Schwinger, S.-I. Tomonaga

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## More evidence for "color"



Hadronic branching ratio in

$$R(s) = \frac{\sigma(e^+e^- \to hadrons, s)}{\sigma(e^+e^- \to \mu^+\mu^-, s)}$$

elektron-positron annihilation

























### Pion decay rate into two photons



Evaluation from independent  $\Gamma(\pi^0 \to \gamma \gamma) = 7.33 \,\mathrm{eV} \left(\frac{N_c}{3}\right)^2$  measurements of other observables:

**Measurement:** 

$$\Gamma(\pi^0 \to \gamma\gamma) = 7.84 \pm 0.56 \,\mathrm{eV}$$

PDG





### Pion decay rate into two photons



### LO amplitude of the decay

$$\Gamma(\pi^0 \to \gamma\gamma) = N_c^2 (Q_u^2 - Q_d^2)^2 \frac{\alpha^2 m_\pi^3}{64\pi^3 f_\pi^2}$$

Attention, not the only choice!  $N_c = 1, Q_u = 1, Q_d = 0 \dots$ 

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PDG



## **QCD** Lagrangian





Color indices of octet representation A,... = 1,...,8

Color indices of triplet representation a,b,c = 1,2,3

Gluon field  $\mathcal{A}^A_\mu$ 

Field strength tensors:

$$\mathcal{G}^{A}_{\mu\nu} = \partial_{\mu}\mathcal{A}^{A}_{\nu} - \partial_{\nu}\mathcal{A}^{A}_{\mu} - g_{s}f^{ABC}\mathcal{A}^{B}_{\mu}\mathcal{A}^{C}_{\nu}$$

Lagrangian of SU(3)<sub>c</sub>:

→ leads to triple (TGC) and quartic (QGC) gauge couplings

$$\mathcal{L}_{\text{QCD}} = \sum_{q} \overline{\psi}_{a} (i\gamma^{\mu} (D_{\mu})_{ab} - m_{q}) \psi_{b} - \frac{1}{4} \mathcal{G}^{A}_{\mu\nu} \mathcal{G}^{\mu\nu}_{A}$$

• The gluon remains massless  $\rightarrow$  SU(3)<sub>c</sub> exact symmetry of nature!





- Invariance under local SU(3)<sub>c</sub>transformations
  - Three color charges a = 1, 2, 3 → Red, Green, Blue (as analogue to electric charge in QED)
  - Eight vector fields (gluons)  $\mathcal{A}^A_\mu$  carry color charge and color anti-charge
  - The gluons are massless
    - $\rightarrow$  exact symmetry
    - $\rightarrow$  in principal infinite range of strong force

$$\mathcal{G}^{A}_{\mu\nu} = \partial_{\mu}\mathcal{A}^{A}_{\nu} - \partial_{\nu}\mathcal{A}^{A}_{\mu} - g_{s}f^{ABC}\mathcal{A}^{B}_{\mu}\mathcal{A}^{C}_{\nu}$$

 Non-zero commutator leads to gluon self-interactions via triple and quartic gauge couplings







### Quark (left) and gluon (middle & right) self-energy corrections:



Quark-gluon vertex corrections:







In (renormalisable) QFT the beta function encodes the dependence of the coupling parameter g on the energy (or distance) scale µ:

$$\alpha_i := \frac{g_i^2}{4\pi}$$

$$\beta(g) = \frac{\partial g}{\partial \log(\mu^2)}$$





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- Beta function of QED (1-loop):  $\beta(\alpha) = \frac{1}{3\pi}\alpha^2$ 
  - The coupling increases with energy scale
  - The coupling decreases with larger distances
    - Infinite range, Coulomb potential:  $V(r) \propto \frac{1}{r}$





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    - Infinite range, Coulomb potential:  $V(r) \propto \frac{1}{r}$
- Beta function of QCD (1-loop):  $\beta(\alpha_s) = -\left(\frac{11N_C 2N_f}{12\pi}\right) \alpha_s^2$ 
  - The coupling decreases with energy scale, if  $N_C=3, ~~ \dot{N_f} \leq 16$ 
    - Asymptotic freedom
  - The coupling increases with larger distances
    - Confinement, string potential:  $V(r) \approx \sigma \cdot r$  with tension  $\sigma \approx 1 \, {
      m GeV/fm}$



## **QCD** and asymptotic freedom



### Nobel prize 2004

### Theory:

- Renormalisation group equation (RGE)
- Solution of 1-loop equation
- Running coupling constant

$$\alpha_s(Q^2) = \frac{\alpha_s(\mu^2)}{1 + \alpha_s(\mu^2)\beta_0 \ln\left(\frac{Q^2}{\mu^2}\right)}$$
$$\alpha_s(Q^2) = \frac{1}{\beta_0 \ln\left(\frac{Q^2}{\Lambda^2}\right)}$$

- What happens at large distances?
  - $Q^2 \rightarrow 0$ ?
  - ➡ Cannot be answered here! For Q<sup>2</sup> → Λ<sup>2</sup> perturbation theory not applicable anymore!





D. Gross



- Strong' coupling weak for  $Q^2 \rightarrow \infty$  , i.e. small distances
- Asymptotic freedom

D. Politzer

Perturbative methods usable

$$\beta_0 = \frac{33 - 2 \cdot N_f}{12\pi}$$



F. Wilczek nobelprize.org

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# **So what do we expect to see?**



- Well not quarks (or gluons) thanks to confinement
- Searches for particles with non-integer charge unsuccessful





#### Leading order:

Quark-Antiquark partons showing up in opposite event hemispheres with energy fractions:

$$x_1 = \frac{2E_q}{\sqrt{s}} \quad x_2 = \frac{2E_{\bar{q}}}{\sqrt{s}} \quad 0 \le x_1, x_2 \le 1$$





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quark





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Gluon emission:









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At low scales:

 $\alpha_{\rm s} 
ightarrow 1$ 







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At low scales:

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G. Salam

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BUT initially cms energy too small for such clear pictures! Hadronisation with typical energies of  $\Lambda_{QCD} \approx 330$  MeV smears out the partonic structure.

K. Lipka

Klaus Rabbertz



## $e^+e^- \rightarrow qq$ at low energy





## So what do we expect to see?



- Well not quarks (or gluons) thanks to confinement
- Searches for particles with non-integer charge unsuccessful
- Examine distribution of energy flow
  - event shapes: continuous measure of energy flow
  - jets: integer quantity counting the number of "peaks" in energy flow

## So what do we expect to see?



- Well not quarks (or gluons) thanks to confinement
- Searches for particles with non-integer charge unsuccessful
- Examine distribution of energy flow
  - event shapes: continuous measure of energy flow
  - jets: integer quantity counting the number of "peaks" in energy flow
- Separation not strict:
  - can subdivide event shape distributions into say 2-jet and multi-jet region
  - ★ can increase jet resolution (decrease jet radius) parameter to find value when event changes from having n jets to n+1 → continuous measure, e.g. y<sub>23</sub> in e+e-







### Investigate the energy/momentum flow in an event





### **Event Shapes**







### **Event Shapes**



Originally: Event Shapes in e<sup>+</sup>e<sup>-</sup> (and ep) Played a key role in the discovery of the gluon at DESY in 1979!

Old but still-used definition since collinear and infrared safe:

**Thrust** S. Brandt et al., PL12 (1964), E. Farhi, PRL39 (1977).



→ 0 in LO dijet case



### **Event Shapes**



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**Thrust** S. Brandt et al., PL12 (1964), E. Farhi, PRL39 (1977).

#### At LHC: Transverse (to beam pipe) global thrust → In praxis, need to restrict rapidity range: |η| < η<sub>max</sub> →

**Transverse central thrust** 



→ 0 in LO dijet case

# **Event Shapes for pp collisions**



### At LHC: Transverse central thrust



 Comparison to perturbative QCD (ME + resummation)

Useful for MC tuning

No luminosity uncertainty

Reduced sensitivity to exp. effects

 Nonperturbative effects might be sizeable

spherical ~ multijet

 $\tau \to 2/\pi$ 

→ 0 in LO dijet case

See e.g. A. Banfi, G. Zanderighi et al., JHEP06, 2010



### So how to see gluons now?





#### Leading order:

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### Theory concerns:

- Small-angle and soft emissions  $\rightarrow$  huge corrections
  - Real singularities must be cancelled against virtual corrections
- Partonic degrees of freedom: BAD, not observable
- Observables must be: insensitive to collinear or soft gluon emissions







### **Experimental concerns:**

- Must have large enough cms energy: PETRA: 13, 17, 27.4 GeV
- Must have algorithm to identify candidate events

First "3-jet" event from TASSO presented by B. Wiik at Neutrino '79 in Bergen



Later confirmed by measurements also from JADE, Mark J and PLUTO i.a. using event shapes



### G. Wolf: talk at Lepton-Photon 1979

J. Ellis: CERN Courier Volume 49, Number 6, July-August 2009

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## Jets in OPAL





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### Jets even clearer visible ... but what exactly is part of a jet?

PLUTO,1979 e⁺e⁻,√s = 30 GeV Multiplicity ~ 10



CMS, 2010 pp,√s = 7000 GeV Multiplicity ~ 100







#### Sterman and Weinberg 1977:

A final state is classified as a 2-jet event, if all but a fraction ε of the total energy is contained in a pair of cones of half-angle δ. δ-limits



- Collinear and infrared safe
- Impractical for multi-jet states
  - JADE algorithm







## Di-jet event with clearly separated energy depositions

'Jet algorithm' based on cell structure of the calorimeters (UA1 & UA2) UA1 later also cone algorithm!





Fig. 6. Inclusive jet production cross section. The solid line (ref. [6]) uses  $\Lambda = 0.5$  GeV while  $\Lambda = 0.15$  GeV would bring the calculated rates in better agreement with the data. However various uncertainties preclude a determination of  $\Lambda$ from the data [13]. UA2, PLB 118 (1982).



**Proton** 

## More bundles of particles





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**Proton** 





- UA1 Collaboration at CERN SppS, PLB 123 (1982) 115:
  - Cluster algorithm around cells with more than 2.5 GeV energy ('seed')
  - → Distance criterium in (pseudo-)rapidity and azimuthal angle wrt. cell (or jet)  $\rightarrow$  cone in ( $\Phi$ , $\eta$ ) space
  - 4-vector addition to combine
  - Further criteria to add less energetic cells

 $R = \sqrt{(\Delta\phi)^2 + (\Delta\eta)^2}$ 



M. Wobisch





- JADE Collaboration, ZPhysC 33 (1986) 23:
  - Algorithm with sequential recombination
  - **For**  $e^+e^- \rightarrow$  no treatment of proton remainder
  - 1. Define metric for distance between two objects i and j via their 4-vectors
  - 2. Calculate the distances for all pairwise combinations i, j
  - 3. Compare the smallest distance to a threshold y<sub>cut</sub>
  - **4.** If smaller  $\rightarrow$  combine both objects i, j to a new one  $\rightarrow$  iterate step 2
  - **5.** If larger  $\rightarrow$  stop algorithm and declare all remaining 4-vectors to jets!

$$y_{ij}^{\mathrm{J}} = \frac{2E_i E_j (1 - \cos(\theta_{ij}))}{E_{\mathrm{vis}}^2}$$

$$y_{i,j;\min} < y_{\mathrm{cut}}$$





Not unsafe, but soft wide-angle radiation attributed to the same JADE jet! Leads to:

- larger hadronisation corrections
- not resummable



Figure 4: A three-jet final state and the assignment of particles to the first (solid), second (dotted) and third (dashed) jets according to the (a) JADE and (b)  $k_{\perp}$  algorithms.

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### Catani, Dokshitzer, Olsson, Turnock, Webber, PLB 269 (1991) 432:

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$$y_{ij}^{k_{\rm T}} = \frac{2\min(E_i^2, E_j^2)(1 - \cos(\theta_{ij}))}{E_{\rm vis}^2} \quad y_{i,j;\min} < y_{\rm cut}$$

## **Tools in particle physics: Jets**







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## Jet algorithms









### Jet Algorithm Desiderata (Theory):

- Infrared safety
- Collinear safety
- Longitudinal boost invariance (recombination scheme!)
- Boundary stability
   (-> 4-vector addition, rapidity y)
- Order independence (parton, particle, detector)
- Ease of implementation (standardized public code?)

See also: "Snowmass Accord", FNAL-C-90-249-E Tevatron Run II Jet Physics, hep-ex/0005012 Les Houches 2007 Tools and Jets Summary , arXiv:0803.0678





### Jet Algorithm Desiderata (Experiment):

- Computational efficiency and predictability (use in trigger?, reconstruction times?)
- Maximal reconstruction efficiency (no dark jets)
- Minimal resolution smearing and angular biasing
- Insensitivity to pile-up (mult. collisions at high luminosity ...)
- Ease of calibration
- Detector independence
- Fully specified (details?, code?)
- Ease of implementation (standardized public code?)



### **Collinear safety**





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Jetography, G. Salam, EPJC 67 (2010) 637.



## Infrared safety



#### Iterative cone with Split/Merge:

- $\rightarrow$  not all objects end in jets, e.g. if no starting cone close by (dark Jets)
- $\rightarrow$  collinear unsafe because of minimal pT on cone seeds
- $\rightarrow$  infrared unsafe ...



Trial to fix issue: MidPoint Cone  $\rightarrow$  Investigate add. all middle points between seeds  $\rightarrow$  also unsafe, becomes apparent only for more complex topology Discovered rather late: Real safe algorithm Seedless Infrared-Safe Cone (SISCone)  $\rightarrow$  rarely used because of 2 orders of magnitude larger computing needs

Jetography, G. Salam, EPJC 67 (2010) 637.